

AI Risk Due Diligence

I. Will Your Company be using AI to provide its services to DS Smith

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Yes No

II. AI Usage Details *(Complete this section only if the answer to Question I is "Yes")*

1. Please briefly describe the AI purpose/feature in its services to DS Smith.

2. Will the AI system be used for any of these use cases?

Note: This question will help to determine if the use case falls under Prohibited AI system as per EU AI Act

Yes, social credit scoring systems	
Yes, emotion recognition systems at work	
Yes, behavioural manipulation	
Yes, AI used to exploit people's vulnerabilities (e.g. age, disability)	
Yes, biometrics categorization systems using sensitive characteristics such as to deduce or infer their race, political opinions, trade union membership, religious or philosophical beliefs, sex life or sexual orientation	
No	

3. Will the AI system be used for any of these use cases?

Note: Questions 4 & 5 will help to determine if the use case falls under High-risk AI system as per EU AI Act

Yes, recruitment (e.g. placing targeted job advertisements, analyzing, and filtering job applications, evaluating candidates)	
Yes, HR & worker management (e.g. decisions regarding promotion, termination, allocation of tasks, monitoring and evaluating performance and behaviour of employees)	
Yes, education and vocational training (e.g. evaluating learning outcomes, monitoring, and detecting prohibited behaviour during tests)	
Yes, access to services (e.g. evaluation of an individual's creditworthiness)	
Yes, biometric identification, categorization, or emotion recognition	
No	

4. Is the AI system intended to be used for any of these purposes?

To perform a narrow procedural task (e.g. spam email filtering. This task is narrow and procedural because it involves a specific, well-defined process (email classification) and does not require the AI to understand the broader context or implications of the emails it is classifying. The AI is simply identifying patterns it has learned during training and applying them to new data.)	
To improve the result of a previously completed human activity (e.g. AI system used in language translation. While humans can translate, the AI system can enhance accuracy and speed, improving the overall quality of translations).	

To detect decision-making patterns or deviations from prior decision-making patterns and not meant to replace or influence the previously completed human assessment, without proper human review (e.g. an AI system that monitors financial transactions for unusual patterns (e.g., potential fraud). It does not replace human assessment but flags deviations for further review.)	
To perform a preparatory task to an assessment relevant for the purpose of the use cases identified in Question 4 (e.g. in legal contexts, an AI system that summarizes lengthy legal documents to review more efficiently.)	
To perform profiling of individuals	

5. Describe the geographic areas where the system will or might be hosted and deployed from and locations of data it will be applied to.

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6. How long/often is the AI system intended to be used?

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7. Does the AI system have a significant advantage (in terms of technical efficiency, cost, protection of privacy, etc.) compared to other available solutions?

Yes. Please describe	
No. Please justify	

8. Are you aware of any additional risks created using this AI system?

Yes. Please describe	
No. Please justify	

9. Will Personal Data be collected and processed by the AI system?

Yes. Please describe	
No. Please justify	

III. Notification of Changes in the Use of Artificial Intelligence

The Supplier shall inform the Buyer in writing, without undue delay, of any actual or intended material change to the manner in which artificial intelligence ("AI") is used in the provision of the Services. Such notification obligation shall apply in particular, but not exclusively, where Supplier or any subcontractor introduces AI where such technologies were not previously used in the provision of the Services, including cases where the AI system used is materially changed in type, capability or underlying model.

The Supplier shall cooperate with the Buyer's internal governance processes, including providing such information as is reasonably necessary to enable the Buyer to classify, register, and assess AI systems in

accordance with its obligations under the EU Artificial Intelligence Act and any applicable AI governance framework.

This notification obligation is cumulative with and does not replace or limit any notification obligation under the 3rd Party Privacy Due Diligence section of this form, the applicable Data Processing Agreement, or any other contractual obligations between the parties.

IV. Signature

Place / Date:

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Company and employee name:

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Signature